



Serial No. 10/071,352

PATENT  
SCP 055914 Div.

2614  
#4  
J. Douglas  
3/5/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : ERIC AUVRAY ET AL.  
Serial No. : 10/071,352  
Filed : February 8, 2002  
For : ADAPTIVE METHOD OF ENCODING AND DECODING  
A SERIES OF PICTURES BY TRANSFORMATION, AND  
DEVICES FOR IMPLEMENTING THIS METHOD  
Art Unit : 2613  
Examiner : N/A

INFORMATION DISCLOSURE STATEMENT

- [ X ] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
[ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

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Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with  
37 CFR 1.97 & 1.98:

- [ X ] 5 A list of documents on form PTO-1449 together with copies of each identified document and a  
translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [ X ] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
[ ] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever  
is earlier]; and  
[ ] 8 The required certification made in item 11 below; or  
[ ] 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission  
of this Information Disclosure Statement is authorized in  
item 14 below.  
[ ] 10 37 CFR § 1.97(d): [before issue fee payment]; and  
(a) This is a petition for consideration of the subject Information  
Disclosure Statement. The petition fee (\$130.00) required by  
37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this  
letter to "Attention PETITIONS EXAMINER" and if applicable  
include batch locator information: e.g., "Allowed Files,  
Batch \_\_\_, Date of Allowance \_\_\_"), and  
(b) The required Certification is stated in item 11 below.

☐ 11 Certification

- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- ☒ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
ERIC AUVRAY ET AL.

BY: 

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Enclosures

December 23, 2002

**Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date: 12/23/02

Signature

  
Patricia M. Fedorowycz



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. <b>SCP 055914 Div. 1</b>		SERIAL NO. <b>10/ 071,352</b>	
<b>INFORMATION DISCLOSURE STATEMENT</b> <b>UNDER 37 CFR 1.97</b> (Use several sheets if necessary)				APPLICANTS <b>ERIC AUVRAY ET AL.</b>			
				FILING DATE <b>February 8, 2002</b>		GROUP <b>2613</b>	
U.S. PATENT DOCUMENTS							
EXAMINE INITIAL		DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	4,901,075	02/13/90	Peter Vogel	341	63	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
	AL	60-194875	10/03/85	JAPAN	H04N	1/419	X**
	AM			**(Abstract Only)			
	AN						
	AO						
	AP						
	AQ						
OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)							
	AR	Gakkaishi, Terebijon, "International Standardization of Colour Still Picture Coding, edited by Hiroshi Yasuda, The Journal of the Institute of the Television Engineers of Japan, Vol. 41, No. 9 (Serial No. 469), September 20, 1987 pages 815-820.***					
	AS	Kaneko, Masahide et al., "Selection of Transformed Coefficients to be Quantized in Hybrid Coding Method", edited by Masahide Kaneko et al., National Convention Record (1987), The Institute of Electronics, Information and Communication Engineers (Part 5), Page 33.***					
	AT	*** TRANSLATION ATTACHED					
EXAMINER				DATE CONSIDERED			
SUBMITTED BY:				REG.NO.:		DATE:	
JEFFREY M. NAVON				32,711		December 23, 2002	

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